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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

Date of deposit with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450:  
Date: May 21, 2004 Name: Susan D. Reinecke Signature: *Susan D. Reinecke*

BRINKS  
HOFER  
GILSON  
& LIONE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: James D. Pennock et al.

Appln. No.: 10/717,247

Filed: November 19, 2003

For: MUSICAL EFEFCT CUSTOMIZATION  
SYSTEM

Attorney Docket No: 11336-657 (P01008USD)

Examiner: TBA

Art Unit: 2837

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir: Attached is/are:

Request for Corrected Filing Receipt (in dup. 2 pages each); copy of Filing Receipt (2 pages) and copy of Declaration for parent application (2 pages).

Return Receipt Postcard

**Fee calculation:**

No additional fee is required.

Small Entity.

An extension fee in an amount of \$ \_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).

A petition or processing fee in an amount of \$ \_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_.)

An additional filing fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+\$290=	
					Total	\$		Total	\$

**Fee payment:**

A check in the amount of \$ \_\_\_\_\_ to cover the above-identified fee(s) is enclosed.

Please charge Deposit Account No. 23-1925 in the amount of \$ \_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.

Payment by credit card in the amount of \$ \_\_\_\_\_ (Form PTO-2038 is attached).

The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

May 21, 2004  
Date

*Susan D. Reinecke*  
Susan D. Reinecke, Reg. No. 40,198  
Brinks Hofer Gilson & Lione  
P.O. Box No. 10395  
Chicago, IL 60610



MAY 24 2004

I hereby certify that this correspondence is being deposited in the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:  
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on May 21, 2004

Date of Deposit

Susan D. Reinecke, Reg. No. 40,198

Name of applicant, assignee or  
Registered Representative

Susan D. Reinecke

Signature

May 21, 2004

Date of Signature

Our Case No. 11336/657 (P01008USD)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

James D. Pennock et al.

Serial No. 10/717,247

Filing Date: November 19, 2003

For MUSICAL EFFECT  
CUSTOMIZATION SYSTEM

Examiner: TBA

Group Art Unit No.: 2837

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attention: Application Processing Division  
Customer Correction Branch

Sir:

Applicant requests the issuance of a Corrected Filing Receipt for the above-referenced divisional patent application (the "Application"), and in support of this request respectfully states:

1. The Application is a divisional application of U.S. Serial No. 10/037,510 (the parent case);

2. The Application was filed on November 19, 2003 and included a copy of the Declaration for the Parent Case (a copy of which is enclosed);
3. The Declaration lists the name and residence of the first inventor as James D. Pennock, Salt Lake City, Utah.

However, the Filing Receipt for the Application lists the residence for the first inventor, James D. Pennock, as "Lake City, Utah". Applicant hereby requests that a Corrected Filing Receipt be issued to accurately state the residence of James D. Pennock as - Salt lake City, Utah-.

The residence of James D. Pennock was correctly indicated on the Declaration filed with the Application, and thus the error on the Filing receipt was due to the Patent and Trademark Office. Therefore, it is believed that no fees are required for the correction of this error, however should any fees be required, the Commissioner is hereby authorized to charge any such fees to Deposit Account No. 23-1925. A duplicate copy of this request is enclosed.

Respectfully submitted,

Dated May 21, 2004



Susan D. Reinecke  
Registration No. 40,198

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## UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
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www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/717,247	11/19/2003	2837	856	11336/657	8	12	4

## CONFIRMATION NO. 7749

John F. Nethery  
BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610

## FILING RECEIPT



\*OC000000012411066\*

Date Mailed: 04/22/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

"SALT"

## Applicant(s)

James D. Pennock, Lake City, UT;  
Robin M. Urry, Draper, UT;  
John D. Hanson, West Jordan, UT;  
Jeremy Geisler, Sandy, UT;

## Assignment For Published Patent Application

HARMAN INTERNATIONAL INDUSTRIES, INCORPORATED, Northridge, CA;

## Domestic Priority data as claimed by applicant

This application is a DIV of 10/037,510 01/04/2002 PAT 6,664,460  
which claims benefit of 60/260,048 01/05/2001

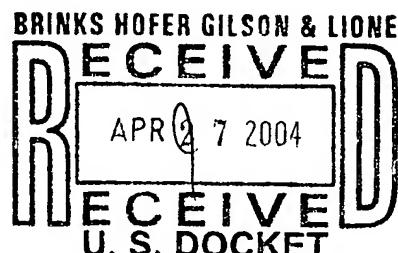
## Foreign Applications

If Required, Foreign Filing License Granted: 02/20/2004

Projected Publication Date: 07/29/2004

Non-Publication Request: No

Early Publication Request: No



**Title**

Musical effect customization system

**Preliminary Class**

081

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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**NOT GRANTED**

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